

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/259,984 03/01/99 NISHIKAWA Y 21650.02200

021270325

PHILIP M SHAW JR  
CROSSBY HEAFEY ROACH & MAY  
POST OFFICE BOX 7936  
SAN FRANCISCO CA 94120-7936

NOT ASSIGNED

2771

DATE MAILED:

03/25/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a  
☐ small entity (statement filed) ☒ non-small entity is \$ 130.00

☐ 1. The statutory basic filing fee is:

- ☐ missing.
- ☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. Additional claim fees of \$ \_\_\_\_\_, including any multiple dependent claim fees, are required.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for \_\_\_\_\_ dependent claims over 20.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Missing Parts Due  
5/25/99  
Pay Surcharge  
5/25/99  
File Declaration  
5/25/99

2/1999 NISHIKAWA 0000094 0925984 130.00 0  
C1105



SEC 702/13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Inventors: Yuko S. Nishikawa et al.

Group Art Unit: 2771

Title: PROVIDE OPTION PALETTE IN  
GUIDE SCREEN

Examiner: Not Assigned

Serial No. 09/259,984

Filed: March 1, 1999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed March 25, 1999,  
transmitted herewith for filing are the following documents:

1.   X   Combined Declaration/Oath and Power of Attorney with  
attached Addendum To Declaration, signed by some, but not all  
of the inventors.
2.   X   Assignment of the invention to Sony Corporation and Sony  
Electronics Inc., signed by some, but not all of the inventors,  
and Form PTO-1595.
3.   X   Applicant hereby petitions for a one month extension of time.  
  X   Extension fee for large entity (\$110.00) enclosed.
4.   X   Assignment recordal fee (\$40.00) enclosed.  
  X   Surcharge for late response to Notice To File Missing Parts  
enclosed:  
  X   Large Entity (\$130.00)  
       Small Entity (\$65.00)
5. **Payment of Fees**  
  X   Check(s) no. 451782 in the amount of \$280.00 enclosed.  
       Charge Deposit Account No. 03-3821 in the amount of \$       ,  
referencing Attorney Docket No. 21650.02200. A duplicate of  
this transmittal is attached.

06/22/1999 NISHIKAWA 00000094 09259984

110.00 DP

01 FC:115

6. **Authorization to charge Deposit Account**

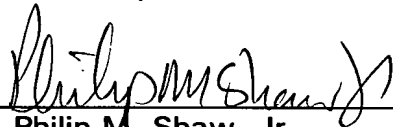
X The Commissioner is hereby authorized to charge any additional fees (or credit any overpayment) associated with this communication, and which may be required under 37 CFR § 1.16 or § 1.17, to Account No. 03-3821, referencing Attorney Docket No. 21650.02200. A duplicate of this transmittal is attached.

7. X Return Receipt Postcard

8. X Other: The inventor Kazuto Mugura was not available for signing the declaration and assignment. Attempts are still being made to locate this inventor and secure his signature. If such signature cannot be secured in a timely fashion, a petition will be filed pursuant to 37 CFR § 1.47(a).

Respectfully submitted,  
Crosby, Heafey, Roach & May  
Professional Corporation

Dated: June 17, 1999

By:   
Name: Philip M. Shaw, Jr.  
Registration No. 25,376  
Attorneys for Applicants

Four Embarcadero Center, Suite 1900  
P.O. Box 7936  
San Francisco, CA 94120-7936  
Direct Dial (415) 659-5904  
(415) 543-8700 Telephone  
(415) 391-8269 Facsimile

**CERTIFICATE OF MAILING - 37 C.F.R. § 1.08**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231 on .

Date: June 17, 1999

  
Rebecca M. Klits